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1	Impacts of the Atlantic Multidecadal Variability on North American
2	Summer Climate and Heat Waves
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18 Key words: Atlantic Multidecadal Variability; internal variability; heat wave, drought, and
 19 soil moisture in North American summer climate.

#### 20 Abstract

The impacts of the Atlantic Multidecadal Variability (AMV) on summertime North 21 American climate are investigated using three Coupled Global Climate Models (CGCMs) in 22 which North Atlantic sea surface temperatures (SSTs) are restored to observed AMV 23 anomalies. Large ensemble simulations are performed to estimate how AMV can modulate 24 the occurrence of extreme weather like heat waves. We show that, in response to an AMV 25 warming, all models simulate a precipitation deficit and a warming over northern Mexico and 26 southern US that lead to an increased number of heat wave days by about 30% compared to 27 28 an AMV cooling. The physical mechanisms associated with these impacts are discussed. The positive tropical Atlantic SST anomalies associated with the warm AMV drive a Matsuno-29 30 Gill-like atmospheric response that favors subsidence over northern Mexico and southern US. 31 This leads to a warming of the whole tropospheric column, and to a decrease in relative humidity, cloud cover, and precipitation. Soil moisture response to AMV also plays a role in 32 the modulation of heat wave occurrence. An AMV warming favors dry soil conditions over 33 northern Mexico and southern US by driving year-round precipitation deficit through 34 atmospheric teleconnections coming both directly from the North Atlantic SST forcing and 35 36 indirectly from the Pacific. The indirect AMV teleconnections highlight the importance of using CGCMs to fully assess the AMV impacts on North America. Given the potential 37 38 predictability of the AMV, the teleconnections discussed here suggest a source of 39 predictability for the North American climate variability and in particular for the occurrence of heat waves at multi-year timescales. 40

# 41 **1. Introduction**

Heat waves cause catastrophic crop failures, increased mortality from hyperthermia, 42 and widespread power outages due to the increased use of air conditioning. For example, the 43 severe 2003 European summer heat wave led to 70,000 deaths (Robine et al. 2008), an 44 increase in forest fires (Fischer et al. 2007), and decreased agricultural production (Ciais et al. 45 46 2005). Focusing on the United States (US), Changnon et al. (1996) estimate that about 1,000 deaths per year are attributable to heat waves, with particular events such as the 1980 heat 47 wave that impacted the Midwest and the Great Plains causing about 10,000 deaths. Kunkel et 48 49 al. (1999) and Ross and Lott (2003) further estimate that each severe heat wave episode has inflicted agricultural and industrial damage ranging from billions to tens of billions of US 50 dollars. Predicting heat waves, and more specifically their likelihood of occurrence, is a 51 52 scientific challenge that hence has the potential to enhance our resilience to such extreme climatic hazards. 53

54 Heat waves are primarily driven by internal atmospheric variability (Schubert et al. 2011, Dole et al. 2011), but their frequency of occurrence and severity can be modulated by 55 atmospheric boundary forcing. Soil moisture deficits have been shown to play an important 56 role in intensifying heat wave severity (Huang and Van den Dool 1993, Fischer et al. 2007, 57 Jia et al. 2016, Donat et al. 2016). Indeed, some of the strongest heat wave events were 58 concomitant with drought conditions (e.g., the 2003 European, 2010 Russian, 2014 California 59 events; Mazdiyasni and AghaKouchak 2015). During summer, dry soil conditions allow less 60 surface cooling through evaporation, and hence precondition the development of positive 61 temperature anomalies (Alexander 2011). On the other hand, warm surface temperatures 62 increase soil water evaporation, favoring dry conditions. This two way temperature-63 evaporation feedback tends to extend and intensify warm and dry conditions, and it explains 64

the link between precipitation deficits and warm conditions over land (e.g., Trenberth andShea 2005).

67 Radiative forcing variations, such as those driven by anthropogenic emissions, can also modulate the occurrence of heat waves (e.g., Hansen et al. 2012). Previous studies, based 68 on Coupled Global Climate Models (CGCMs) integrated under different anthropogenic 69 forcing scenarios, concluded that over the US, the number of heat waves would increase 70 during the 21st century (Meehl and Tebaldi 2004, Diffenbough et al. 2005, Lau and Nath 71 2012). However, this increasing trend may be modulated by the impacts on land of low 72 73 frequency sea surface temperature (SST) variability (e.g., Schubert et al. 2016, Seager and 74 Ting 2017), such as that associated with the internally-driven component of the Pacific Decadal Oscillation (PDO; Newman et al. 2016) or the Atlantic Multidecadal Variability 75 76 (AMV; Schlesinger and Ramankutty 1994, Knight et al. 2005). These low frequency SST variations may explain why there has not been any long-term trend of heat waves detected 77 over the US during the 20<sup>th</sup> century, despite the increase of radiative forcing (Kunkel et al. 78 1999, Easterling et al. 2000). 79

The impacts of SST variability on North American temperature and precipitation have 80 81 been documented by numerous studies. El Niño Southern Oscillation (ENSO) has a large influence on the annual mean surface temperature and precipitation over North America, with 82 cold tropical Pacific SST (i.e., La Niña conditions) favoring warming and reduced 83 precipitation over Mexico and southern US, whereas El Niño conditions are associated with a 84 warming over Alaska and northwestern Canada (Trenberth and Branstator 1992, Trenberth 85 and Guillemot 1996, Mo and Higgins 1998, Seager et al. 2005a). Using an atmospheric-only 86 model forced by observed SST over the tropical Pacific, Seager et al. (2005b) further found 87 that decadal tropical Pacific SST variations are the ultimate drivers of persistent droughts and 88 pluvials over western North America. Schubert et al. (2016) emphasized the seasonality of 89

90 the climate impacts of the tropical Pacific SST, with the weakest connection to North
91 America occurring in the boreal summer. During this season, the tropical Atlantic appears to
92 be the main SST forcing.

Focusing on the North Atlantic forcing, Sutton and Hodson (2005, 2007) showed, 93 using an atmosphere-only model, that the warm phase of the AMV (referred to as the positive 94 95 phase; AMV+) tends to create a warming and a precipitation deficit over Mexico and the US during boreal summer. These results are consistent with the observation-based studies of 96 Enfield et al. (2001) and McCabe et al. (2004), who found that AMV+ is associated with a 97 98 decrease of the river streamflow and an increased occurrence of droughts over the southwest 99 and central-north US. Sutton and Hodson (2005, 2007) also highlighted the key role played 100 by the tropical part of the AMV in driving these impacts. The studies of Wang et al. (2008) 101 and Kushnir et al. (2010), focusing respectively on the impacts of the Atlantic warm pool and on the tropical Atlantic SST in atmosphere-only models, corroborate such impacts on 102 precipitation. Wang et al. (2008) and Feng et al. (2011) infer that this precipitation decrease is 103 due to changes in the position and strength of the Caribbean Low Level Jet and of the Great 104 Plains Low Level Jet (GPLLJ), both of which transport atmospheric moisture from the 105 106 tropical Atlantic to the central US. However, the robustness of this mechanism still needs to be evaluated. Furthermore, the impact of the AMV on the occurrence of heat waves over 107 108 North America still need to be assessed.

As mentioned above, most of the studies examining the impacts of AMV on North America have used atmosphere-only models. However, several fully-coupled studies have recently shown that North Atlantic variations can drive tropical Pacific changes (Dong et al. 2006, Kucharski et al. 2011, McGregor et al. 2014, Ruprich-Robert et al. 2017). A tropical Atlantic warming modifies the boreal summer Walker Circulation, and accelerates the Trade winds over the Central Pacific through an atmospheric bridge. This wind change eventually

favors the development of La Niña-like conditions in the following winter (Li et al. 2015, 115 Ruprich-Robert et al. 2017). Furthermore, Mo et al. (2009) and Schubert et al. (2009) 116 estimate that the strongest impacts of SST on North American climate occur when the North 117 Atlantic and the tropical Pacific SSTs show opposite anomalies (see also McCabe et al. 2004 118 and Kam et al. 2014). The results of the above studies suggest that the AMV impacts on 119 North America cannot be ascertained with sensitivity experiments that just employ stand-120 121 alone atmospheric models, as they cannot represent the adjustment of the tropical Pacific to the AMV forcing. In this study, we use fully-coupled models (i.e., CGCMs) in order to fully 122 123 capture the AMV impacts on climate.

Given the potential predictability of low frequency SST variations, they can be seen 124 as a source of predictability for North American climate variability. Improving our 125 126 knowledge of the mechanisms associated with AMV teleconnections can help advance the prediction of climate variations on decadal timescale, in particular the variations in the 127 occurrence of extreme weather events such as heat waves and droughts. In this paper, we 128 investigate the impacts of the AMV on the occurrence of North American heat waves, and we 129 explore the physical mechanisms associated with these impacts. The influence of the AMV is 130 131 estimated using ensemble simulations performed with three different CGCMs, in which the model North Atlantic SSTs are restored to an estimate of the internally-driven component of 132 133 the observed AMV SST anomalies as described in Ruprich-Robert et al. (2017). The paper is organized as follows. The models and methods - including the experimental protocol and 134 datasets used for this study – are introduced in Section 2. The impacts of AMV on the boreal 135 summer climate variations over North America are presented in Section 3 and their 136 137 associated mechanisms are investigated in Section 4. We discuss and conclude our results in Sections 5 and 6. 138

#### 140 2. Models, Methods, and Datasets

We perform idealized experiments using three CGCMs in which the North Atlantic SSTs are restored to a time-independent spatial pattern corresponding to an estimate of the internally-driven component of the observed AMV anomaly.

a. Decomposing the internal and forced components of the observed AMV

To decompose the internal and forced components of the AMV, we follow the 145 approach proposed by Ting et al. (2009) updated with the historical simulations of the 36 146 CMIP5 models and using the observed SST dataset from the Extended Reconstructed Sea 147 Surface Temperature version 3 (ERSSTv3; Smith et al. 2008), as explained in Ruprich-148 Robert et al. (2017). Following this method, the internal component of the observed North 149 150 Atlantic SST index (hereafter referred to as the AMV index for clarity) is estimated as the residual of the observed North Atlantic basin-wide averaged SST (from Equator to 60°N and 151 75°W to 7.5°W) after subtracting the forced component (Fig. S1a). The spatial pattern of the 152 AMV is obtained by regressing the annual mean observed SST at each grid point onto the 153 AMV index (Fig. S1b). Both the AMV index time series and the SST field have been low-154 155 pass filtered prior to the regression using a Lanczos filter (21 weights and a 10-year cutoff period), and the regression has been computed over the 1870-2013 period. 156

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# 158 b. Presentation and evaluation of the Coupled Global Climate Models (CGCMs)

We use three different CGCMs in this study: the GFDL CM2.1, the GFDL FLOR, and the NCAR CESM1(CAM5) models (referred hereafter as to CM2.1, FLOR, and CESM1, respectively). The detailed formulation and simulation characteristics of CM2.1 are described by Delworth et al. (2006) and Wittenberg et al. (2006). The ocean component of CM2.1 has 50 vertical levels and a nominal 1° horizontal resolution, increasing to 1/3° meridional 164 spacing near the equator. Its atmospheric component consists of 24 vertical levels and  $2^{\circ}$ latitude by 2.5° longitude grid spacing. The land surface component is LM2, in which water 165 may be stored in three lumped reservoirs: snow-pack, soil water (representing the plant root 166 zone), and ground water. FLOR, described in Vecchi et al. (2014), has a very similar oceanic 167 component to CM2.1 but higher horizontal (50 km x 50 km) and vertical (32 levels) 168 atmospheric resolution and runs on a cubic sphere. Its land surface component is LM3, which 169 includes a multilayer model of snow pack above the soil and a continuous vertical 170 representation of soil water that spans both unsaturated and saturated zones. CESM1 is used 171 172 with the same components as the long control simulation of the CESM Large Ensemble Project (Kay et al. 2015). All components of CESM1 have approximately 1° horizontal 173 174 resolution. The atmospheric component CAM5.2 has 30 hybrid vertical levels. The ocean 175 component POP2 uses 60 vertical levels and a meridional mesh refinement down to a quarter 176 of a degree near the equator. The land surface component is CLM4, which includes a multilayer snow pack and a 15-layer soil column coupled to an unconfined aquifer. 177

Over North America, the three CGCMs simulate reasonable summertime 2-meter air temperature climatology compared to observations (Fig. S2). We note however that FLOR simulates too cold conditions (Fig. S2d). The position of the mid-troposphere monsoon high is also well reproduced by CM2.1 and CESM1, whereas the latter is shifted southward in FLOR. The mean North American climate biases of FLOR are likely explained by the too cold SST simulated by this model, especially over the North Atlantic (e.g., Pascale et al. 2016).

185 c. Description of the coupled model experiments

186 With the three models, we performed two sets of experiments called AMV+ and AMV-, in which the time invariant<sup>1</sup> SST anomalies corresponding to the positive and 187 negative phases of the AMV index are imposed over the North Atlantic, respectively. In these 188 experiments, the model daily SST is restored to the observed AMV anomalies superimposed 189 on the model's own daily climatology over the North Atlantic region from 0° to 73°N. We 190 use 8° buffer zones over the northern and southern boundaries with a restoring coefficient 191 192 decreasing by 0.125 per degree of latitude so that a full restoring is performed only between 8°N and 65°N. Outside of the restoring region, the models evolve freely, allowing a full 193 194 response of the climate system. We stress that the goal of our experiments is to estimate the impacts of AMV on the global climate system through its atmospheric teleconnections. We 195 therefore attempt to minimize the impacts of the North Atlantic SST perturbations on the 196 197 North Atlantic ocean dynamics such as the gyre and overturning circulations. For CESM1 and CM2.1 the imposed SST anomalies correspond to +1 or -1 standard deviation of the 198 AMV index (i.e., plus or minus the AMV pattern shown in Fig. S1b) and the restoring 199 timescale is 5 days. For FLOR, we slightly modified the experimental protocol to minimize 200 the North Atlantic ocean adjustment in this model (cf. discussion in Ruprich-Robert et al. 201 2017). The restoring coefficient is relaxed to 15 days and the imposed SST anomalies 202 correspond to +1.5 and -1.5 standard deviation of the AMV. This latter change has been 203 made because the weaker restoring coefficient in FLOR otherwise does not yield SST 204 205 anomalies as strong as in CESM1 and CM2.1 (especially in winter). Furthermore, sea surface salinity is restored in FLOR to values that counterbalance the surface density anomalies 206 generated by SST restoring. We tested the two different experimental protocols with the 207 208 CM2.1 model, and we found that the conclusions of this article are not impacted by these 209 changes.

<sup>&</sup>lt;sup>1</sup> No month-to-month or interannual variation.

With all models we perform large ensemble simulations (100 members for CM2.1, 50 members for FLOR, and 30 members for CESM1) in order to robustly estimate the climate impacts of AMV, and in particular, its impacts on the occurrence of weather extremes. In order to focus on the internal climate response and to capture the potential response and adjustment of other oceanic basins to the AMV anomalies, the simulations have been integrated for 10 years with fixed external forcing conditions at pre-industrial levels.

To estimate the climate impacts attributable to the tropical part of the AMV, we 216 performed additional experiments with CM2.1 and CESM1, called Trop AMV, in which the 217 218 observed AMV anomalies are restored only over the tropical North Atlantic region (from 0° to 28°N). We also performed another set of experiments with CM2.1 in which, in addition of 219 restoring the North Atlantic SST to the observed AMV anomaly, we restored the SST of the 220 221 other oceanic basins to their modeled climatology. We call these experiments Damped Global AMV. This drastically inhibits the generation of SST anomalies outside of 222 the North Atlantic. Therefore the comparison between the AMV experiments and the 223 Damped\_Global\_AMV experiments provides information about the role played by the SST 224 response outside of the North Atlantic on the AMV impacts over land. 225

226

# 227 *d. Observational and reanalysis datasets*

As mentioned above, the SST from the ERSSTv3 dataset (Smith et al. 2008) has been used to extract the AMV pattern imposed in the AMV sensitivity experiments. To compare the model outputs with observations, we use the 5° resolution monthly mean 2-meter air temperature from the HadCRUTv4 dataset (Morice et al. 2012), the 5° resolution monthly mean sea level pressure from the HadSLP2 dataset (Allan and Ansell 2006), and the monthly mean precipitation from the 2.5° resolution GPCC dataset (Schneider et al. 2015). We also use the atmospheric winds (horizontal and vertical) and specific humidity of the 2° 20th
Century Reanalysis (20CR; Compo et al. 2011). To estimate the observed changes in heat
waves, we use the maximum daily temperature from the 1° Berkley Earth Surface
Temperature (BEST) gridded reconstruction (Rohde et al. 2013). For all these datasets we use
the time period covering 1901-2011.

240 e. Definition of heat waves and number of heat wave days

Following Lau and Nath (2012), for each member of the AMV+ and AMVensembles we define a heat wave event as a group of days satisfying the following three criteria:

 $-T_{max}$  must exceed  $T_1$  for at least three consecutive days,

 $-T_{max}$  averaged over the entire event must exceed  $T_1$ , and

 $- T_{max}$  on each day of the event must exceed  $T_2$ .

Where  $T_{max}$  is the daily maximum 2-meter air temperature, and  $T_1$  and  $T_2$  are the temperatures corresponding to, respectively, the 90<sup>th</sup> and 75<sup>th</sup> percentile of the June-July-August  $T_{max}$  probability density function (PDF) built from the  $T_{max}$  values of all the members of the AMV+ and AMV- simulations<sup>2</sup>. In the present study, we will focus on the number of days per boreal summer that satisfy the heat wave criteria (hereafter number of heat wave days). More specifically, we will focus on how the number of heat wave days changes between the AMV- and AMV+ conditions.

<sup>239</sup> 

<sup>&</sup>lt;sup>2</sup> As the  $T_{max}$  PDF is defined at each spatial location, our criteria leads to a relative definition of heat wave. The heat wave magnitude (i.e. the average of  $T_{max}$  over the heat wave events) is then expected to be different from one region to another.

#### 255 *f. Atmospheric moisture transport / divergence estimation and limitations*

To understand the mechanism driving the precipitation anomalies detailed in Section 256 4, we investigate the atmospheric moisture transport and divergence. Unfortunately, the 257 moisture transport was not saved online during the model integrations. Hence, we compute it 258 from monthly-mean atmospheric wind, specific humidity, and surface pressure outputs. We 259 260 acknowledge that the omission of sub-monthly variability may introduce errors in this estimation. In addition, due to data storage requirement, the three dimensional atmospheric 261 fields from CM2.1 and FLOR were interpolated and saved on 17 vertical levels. Of particular 262 importance here, the degraded temporal and vertical resolutions introduce spurious 263 divergence anomalies over region of high topography (see for example Seager and Henderson 264 2013, and detailed discussion in Supplementary Material). To partly prevent this issue, we 265 266 compute the atmospheric humidity divergence over iso-pressure surface always defined over North America, i.e. above 700 hPa. Note that the anomalies of atmospheric humidity 267 transport below 700 hPa are also computed and discussed. 268

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#### 270 3. Results: description of the AMV impacts on North America

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272 a. Mean response
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The differences of June-July-August (JJA) 2-meter air temperature between the positive and the negative years of the observed AMV (Fig. 1a) indicate that warm AMV states are linked to warmer than usual conditions over all Mexico and the US in observations, with maximum anomaly loading found from northern Mexico to the states of South Dakota. However, due to the presence of external forcing variability, we cannot attribute these observed changes solely to AMV. In additions, due to the shortness of the historical record

(~110yr) compared to the AMV period suggested by observations (~60yr; cf. Fig. S1b), only 279 few independent samplings are available to study the AMV climate impacts. It is hence very 280 likely that the observed AMV composite shown on Figure 1a is also polluted by internal 281 282 climate noise (i.e. other signals than the ones driven by AMV) and does not rigorously isolate the impacts of AMV. To tackle these issues, we investigate the climate impacts of AMV from 283 the idealized AMV experiments performed with the three CGCMs introduced in Section 2c. 284 Although shifted by about  $5^{\circ}$  of longitude to the west, we find that the three models 285 reproduce the magnitude of the observed maximum of temperature anomaly over 286 287 southwestern North America (Figs. 1b-d), suggesting that the observed decadal variability of the North Atlantic SST has largely contributed to these land surface anomalies. The link in 288 observations between the AMV and the summer surface temperatures over northern Mexico 289 290 and southern US has already been discussed by Sutton and Hodson (2005). Furthermore, the 291 multi-model studies of Hodson et al. (2010) and Ting et al. (2014) found similar AMV impacts over this region, giving confidence in the robustness of these impacts. However, 292 293 some discrepancies exist between our models' results over the Northern and Eastern US, where CESM1 and CM2.1 tend to reproduce the observed warming whereas FLOR shows a 294 slight cooling. These differences among the models highlight uncertainties on the effective 295 role played by the observed AMV on driving surface temperature anomalies over these 296 regions. 297

Associated with the surface warming response to AMV+, the three models simulate negative sea level pressure (SLP) anomalies over North America (Figs. 2a-c), which are part of a broad anomalous cyclonic circulation extending from the subtropical North Atlantic to the Eastern subtropical North Pacific. In the three models, the anomalous lower troposphere cyclonic circulation is balanced by an anomalous anticyclonic circulation in the upper troposphere, as shown by the wind streamfunction anomalies in Figures 2e-g. These opposite 304 anomalies between the lower and the upper subtropical troposphere are consistent with a Matsuno-Gill atmospheric response (Matsuno 1966, Gill 1980) to the warming imposed over 305 the tropical North Atlantic. Indeed, we find that the SST warming associated with AMV+ 306 307 drives anomalous upward motions in the upper troposphere around 10°N over a region extending from the Atlantic to the Eastern tropical Pacific (Figs. 2e-g)<sup>3</sup>, indicating a 308 strengthening of the atmospheric deep convection there. The anomalous deep convection in 309 310 the tropics generates a tropical Rossby wave-like circulation pattern as well as downward motions north and west of the heating region. Similar results are found in the Trop\_AMV 311 312 experiments (cf. Section 2c), confirming that this atmospheric response comes from the tropical part of the AMV forcing (Fig. S3). Our results are consistent with the study of Sutton 313 and Hodson (2007), who also found that an AMV warming drives anomalous atmospheric 314 downward motions west of the US and over northern Mexico. 315

316 The observations show also negative SLP anomalies associated with an AMV warming over the broad subtropical North Atlantic / eastern North Pacific region (Fig. 2d), 317 although the magnitude of the SLP anomalies is weaker than the model ones, especially over 318 Mexico and the Caribbean Sea. The AMV composite from the 20th Century reanalysis shows 319 320 indications of a tropical Rossby wave-like pattern generated over the tropical Atlantic, as well as a predominance of anomalous downward motions in the upper-troposphere over 321 322 southwestern North America (Fig. 2h). The anomalous anticyclonic upper-tropospheric circulation in the reanalysis is however shifted northward compared to the models' results, 323 and it appears mixed with an extratropical anomaly. Possible explanations for this 324 discrepancy between the CGCMs and the reanalysis can come from the presence of 325 extratropical atmospheric noise and/or external forcing variations in the reanalysis composite. 326 But, it can also indicate a misrepresentation by the CGCMs of the AMV impacts on 327

<sup>&</sup>lt;sup>3</sup> In FLOR, the vertical wind has been computed from the divergence of the horizontal winds.

atmosphere due to common model biases, such as the location of the Gulf Stream separation
and its eastward extension, or the miss-representation of low cloud over the tropical Atlantic.
Further investigations that are beyond the scope of this paper would be needed to test these
hypotheses.

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#### 333 *b. Heat wave response*

The large ensemble simulations performed with the three models allows us to 334 estimate, without any statistical assumptions (such as Gaussian distribution), the modulation 335 of the occurrence of weather extremes by AMV. We focus here on the number of heat wave 336 days per summer (cf. definition in Section 2e). Figures 3b-d shows that, over northern 337 338 Mexico and southwestern US, the three models simulate an increase of the number of heat wave days per summer with differences larger than 3 days over some areas, which 339 corresponds to a relative increase of ~30% (cf. climatological values on Figures S4b-d). We 340 note that these relative changes are robust through heat wave definitions (cf. Fig. S5) and 341 appear insensitive to the mean model climatological biases (Figs. S4b-d). For comparison, 342 Lau and Nath (2012) analyzed the A1B 21<sup>st</sup> century anthropogenic emission scenario using 343 CM2.1 and found an increasing trend per decade of about 5 heat wave days per summer over 344 North America. Assuming a step-shift from a 10-year (20-year) period in a given phase of the 345 AMV to a 10-year (20-year) period in the other AMV phase, a change of 3 heat wave days 346 translates to a trend per decade of 2.25 days (1.125 days). It suggests that AMV can modulate 347 the anthropogenic trend by 45 % (22.5 %) over a period of 20 years (40 years), either 348 349 reducing or adding up to its effects.

350 Given the increase in the mean surface temperature over North America from AMV-351 to AMV+ conditions (cf. Fig. 1), the increase in the number of heat wave days was also to be

352 expected. Here we estimate whether the increased number of heat wave days can be explained by a simple shift in the distribution of the daily maximum air temperature (the PDF 353 of T<sub>max</sub>) or if it corresponds also to a change in the shape of the distribution and/or to a 354 change in the persistence of anomalously warm conditions. To do so, we compute – using 355 daily data - the mean seasonal cycle of the daily maximum air temperature from, on the one 356 hand, all the AMV+ members, and on the other hand, all the AMV- members. We compute 357 the difference between these two seasonal cycles and add this difference to the daily T<sub>max</sub> 358 values of each AMV- ensemble members, in order to build a "mean shifted" AMV-359 360 distribution. We call this resulting distribution δµAMV-. Using the same heat wave definition as previously, we count the number of heat wave days in  $\delta\mu$ AMV-. We find that the  $\delta\mu$ AMV-361 distribution broadly reproduces the AMV+ occurrence of heat wave days for FLOR (Figs. 362 363 3d,h,l). It indicates that, for this model, the increase of heat wave days from AMV- to AMV+ conditions is consistent with a simple shift in the  $T_{max}$  distribution. There are however regions 364 with statistically significant differences between AMV+ and δμAMV- for CM2.1 and 365 CESM1, which is particularly true for extremely warm heat waves (Fig. S5). Over 366 southwestern US, the number of heat wave days increases less than what was expected from a 367 simple shift of the Tmax distribution, whereas over Nevada - Nebraska it increases more. 368 These indicate changes in the T<sub>max</sub> PDF shape and/or in the persistence of anomalously warm 369 conditions, which is possibly linked to soil moisture anomalies (cf. Section 4-b-2; Berg et al. 370 371 2014, Douville et al. 2016).

As for the model outputs, we compute the differences of number of heat wave days between the positive and the negative years of the observed AMV using the BEST reconstruction. Similarly to HadCRUT4, the BEST dataset shows an increase of the JJA 2meter air temperature over North America associated with AMV+, but with maximum anomalies slightly shifted to the East (not shown). The BEST composite shows also an

increase of the number of heat wave days over Mexico and the US (Fig. 3a). However, there 377 is an absence of anomaly over the Northwest of Mexico and maximum anomalies are found 378 around the Gulf of Mexico and over the Great Plains, which contrasts with our models' 379 380 results. In addition, the magnitude of the observed differences of number of heat wave days from BEST is about two times as high as the differences found between the ensemble means 381 of our AMV simulations. We test whether these discrepancies are potentially related to 382 383 observational estimate uncertainties (cf. Supplementary Material). We find that, although some discrepancies exist about the precise intensity and location of the heat wave changes, 384 385 the observed datasets tend to agree on the absence of signal over the Northwest of Mexico and on the number of heat wave days increase over western US (Fig. S6). It is hence possible 386 that the discrepancies between the observed composite and the model results come from 387 388 common model incapacities to fully represent the mechanisms by which AMV modulates heat waves over North America. It is also possible that the observed composite is polluted by 389 external forcing variability or by climate noise. We find indeed that, for the three CGCMs, 390 subsamples of only 5 members from AMV+ and AMV- simulations can present similar 391 results to the observed composite (Figs. S7g-i)<sup>4</sup>. More generally, the analysis of the signal to 392 noise ratio of the AMV impacts shows that ~25% of the decadal variance of the number of 393 heat wave days over southwestern North America is imputable to AMV, the other ~75% 394 being controlled by climate noise (Figs. S7d-f). 395

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# **4.** Mechanisms associated with the AMV impacts: role of the atmospheric humidity

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399 In this section we investigate the physical mechanisms linking the AMV to the 400 surface temperature variations over North America. According to the Stephan-Boltzmann

<sup>&</sup>lt;sup>4</sup> This number (5) of member is chosen in order to match the observed sampling (5 mb x 10 yr x 2 = 100 years).

law, the amount of long wave radiation emitted from the surface is a fourth power function of
the land surface temperature. Further, considering the heat capacity of the land surface as
negligible, we can assume that at equilibrium the sum of the surface heat fluxes is equal to 0.
It follows that:

405 
$$\Delta(T_s) \propto \Delta(LW_{up}) = \Delta(SW_{net}) + \Delta(LW_{dn}) + \Delta(SH) + \Delta(LH) + \Delta(G)$$

where  $\Delta(.)$  refers to the difference between AMV+ and AMV- conditions, T<sub>s</sub> is the land 406 surface temperature, LW<sub>up</sub> is the outgoing long wave radiation emitted by the surface, SW<sub>net</sub> 407 is the net short wave radiation incoming to the surface, LW<sub>dn</sub> is the long wave radiation 408 409 incoming to the surface, SH is the sensible heat fluxes, LH is the latent heat fluxes, and G is the heat fluxes penetrating into the ground. For all surface heat fluxes, we choose as 410 convention that positive values represent fluxes going into the land surface. We find that 411 412 changes in G are one or two orders of magnitude smaller than the changes in the other heat fluxes (not shown), and therefore we do not discuss further its contribution to the surface 413 temperature changes. 414

Figure 4 shows the JJA anomalies of each of the surface heat flux components over 415 North America. Overall, the land surface heat budget shows that the summer surface 416 417 warming over northern Mexico and southwestern US shown in Figure 1 is driven by a increased net solar radiation at the surface (SW<sub>net</sub>) and by decreased surface latent heat flux 418 from the drier land state (LH; which has climatological negative values). Along the coast of 419 420 the Gulf of Mexico, the surface is warmed by LW<sub>dn</sub>. This is consistent with the increased of atmospheric humidity here (Fig. 5). Over northeastern US, the warming simulated by CM2.1 421 and CESM1 is also mostly explained by LW<sub>dn</sub> anomalies, which are mostly absent in FLOR 422 (cf. further details in Section 4c), explaining the differences of 2-meter air temperature 423 anomalies seen in Figures 1b-d, and potentially the differences in the changes in the number 424

of heat wave days seen in Figures 3b-d. We discuss in the following sections the physical
mechanisms responsible for each of these surface heat flux anomalies.

427

# 428 *a. Increase of the incoming solar radiation* $(SW_{dn})$

Changes in SW<sub>net</sub> can either come from changes of the surface albedo or from 429 changes in the amount of shortwave radiation that reaches the surface (SW<sub>dn</sub>). In the present 430 case, the modifications of surface albedo due to the vegetation response in FLOR and 431 CESM1 (the two models including vegetation variations) explain less than 10% of the SW<sub>net</sub> 432 changes. We find that an AMV warming leads to decrease of both atmospheric humidity and 433 cloud cover over southwestern North America in the three models (Fig. 5). The increase of 434 435 SW<sub>net</sub> from AMV- to AMV+ conditions is mostly induced by reduced cloudiness leading to a reduction in albedo, which leads to an increase of SW<sub>dn</sub>. We argue in the following that these 436 responses are linked to a thermal low atmospheric adjustment to the tropical SST forcing 437 imposed over the North Atlantic. 438

As introduced in Section 3a, the tropical SST warming associated with AMV+ drives 439 440 a Matsuno-Gill-like atmospheric response, which favors downward motion in the uppertroposphere over northern Mexico and southwestern US (Figs. 2e-g and 6a). This downward 441 motion tends to warm the upper to mid-troposphere (adiabatic compression), which leads to a 442 decrease of atmospheric relative humidity (Figs. 6b,c). This explains part of the cloud cover 443 decrease (Figs. 5d-f) and the SW<sub>dn</sub> increase over northwestern Mexico and southwestern US. 444 The induced surface warming and subsequent increase of upward long wave radiation lead to 445 446 a warming of the low- and mid-troposphere, likely contributing to the fairly homogenized profiles of temperature and relative humidity anomalies over the entire troposphere (Figs. 447 448 6b,c). In the three models, we note that anomalous downward motion also occurs over the Pacific Ocean west of the US. This also likely plays a role in the decrease of relativehumidity in the upper atmosphere over western US through lateral advection of warm air.

We can infer from the atmospheric vertical velocity anomalies shown in Figure 6a 451 that the mid-troposphere exhibits anomalous horizontal divergence. Combined with the 452 climatological upward motion present in the lower troposphere (and with the positive upward 453 454 motion anomalies in the CESM1 experiments), this horizontal divergence can act as a sink of moisture for the lower troposphere, leading to negative specific humidity anomalies below 455 500 hPa (Fig. 6d). These anomalies contribute also to the decrease of relative humidity shown 456 457 in Figure 6c and to the decrease of cloud cover discussed above (Figs. 5d-f). We note that CESM1 and FLOR simulate a specific humidity decrease of about 0.1 g/kg, whereas CM2.1 458 tends to simulate an anomaly of opposite sign around the surface. This difference comes from 459 460 the month of August during which CM2.1 simulates a significant wetting of the atmosphere unlike CESM1 and FLOR (Fig. 6d). 461

462 Divergence of humidity in the lower troposphere may also happen very locally over important moisture source regions such as the Gulf of California. Over this region, moisture 463 surges with a typical one week timescale play an important role in the amount of humidity 464 transported from the Gulf of California to northern Mexico and southwestern US (e.g., 465 Pascale et al. 2016). Unfortunately, in the present case we do not have high enough three-466 dimensional temporal resolution outputs of humidity and wind (i.e., 6 hourly or daily) at our 467 disposal to investigate this potential contribution to the negative specific humidity anomalies 468 (cf. Section 2f). 469

470

471 *b. Decrease of latent heat loss (LH)* 

472 The latent heat flux is directly linked to the evaporation / sublimation fluxes. The positive latent heat flux anomalies shown in Figure 4 hence correspond to negative JJA 473 evaporation anomalies over northern Mexico and southwestern US in response to an AMV 474 475 warming. This decrease of JJA evaporation can either come 1) from concomitant changes of atmospheric conditions such as JJA precipitation, wind, humidity, and temperature, or 2) 476 from a negative anomaly of precipitation minus evaporation budget earlier in the year, which 477 would lead to less soil moisture to be potentially evaporated during the summer. We 478 investigate these two possibilities in what follows. 479

# 480 1) JJA ATMOSPHERIC CONDITIONS

As shown previously, positive temperature anomalies (Fig. 1) and negative 481 atmospheric humidity anomalies (Figs. 5 and 6) prevail over southwestern North America. 482 483 Furthermore, we find that the strength of the surface winds tends to increase over this region (not shown). These above mentioned atmospheric anomalies should drive an increase in 484 485 evaporation. We can hence conclude that these three factors are working to counteract the LH anomalies over North America seen in Figure 4. On the other hand, Figures 7a-c shows a 486 decrease of precipitation over the entire northern Mexico and southwestern US, with 487 maximum anomalies localized over the Sierra Madre Occidental and the Rocky Mountains. 488 This indicates that JJA precipitation anomalies play an important role in the LH anomalies 489 seen in Figure 4. 490

The mass divergence prevailing in the mid-troposphere over southwestern North America (cf. Fig. 6a) may explain these precipitation anomalies. We find indeed that positive anomalies of horizontal humidity divergence in the mid-troposphere (Figs. 7e-g) are colocated with negative precipitation anomalies (Figs. 7a-c). Surprisingly, there is a strong agreement among the models in terms of humidity divergence, whereas models disagree on

496 the horizontal humidity transport. It suggests that the horizontal divergence anomalies are primarily driven by the anomalous subsidence shown in Figures 2e-g, and that the horizontal 497 mid-troposphere dynamic is not key to understand the JJA North American precipitation 498 499 response to AMV. However, as warned in Section 2f, the atmospheric moisture transport and divergence are computed from monthly mean wind and humidity fields. They are therefore 500 subject to errors due to the omission of sub-monthly variations (cf. Supplementary material; 501 Figs. S9 and S10 for an estimate of these errors). Nevertheless, results from Figures 7a-c and 502 7e-g suggest that the JJA dynamical atmospheric response to AMV plays a role in the 503 504 precipitation deficit over this region.

By decomposing the humidity divergence anomalies ( $\Delta div(q\mathbf{u})$ ) into a part coming 505 from wind anomalies  $(\operatorname{div}(q\Delta \mathbf{u}))$  and a part coming from humidity anomalies  $(\operatorname{div}(\mathbf{u}\Delta q))$ , we 506 507 find that the anomalous humidity divergence in the mid-troposphere is mainly explained by the wind anomalies south of 35°N (Fig. S8). Under the assumption that the atmospheric 508 dynamics is not impacted by the atmospheric humidity anomalies, it confirms that the JJA 509 atmospheric circulation response to AMV (cf. Section 4a) is driving the negative JJA 510 precipitation anomalies over this region. North of 35°N, atmospheric humidity anomalies 511 512 seem to explain the JJA precipitation deficits (Fig. S8), but their impact on precipitation appears to be counteracted by the wind anomalies (especially for CM2.1 and CESM1). 513 514 Understanding the causes of atmospheric humidity anomalies is not trivial as these anomalies are a function of evaporation, precipitation<sup>5</sup>, and atmospheric humidity divergence anomalies 515 (implying non-linearities). Detailed investigation of the relative role played by these different 516 factors would require further sensitivity experiments that are beyond the scope of this study 517 (e.g., preventing soil moisture feedback on precipitation as discussed in Schubert et al. 2004). 518

<sup>&</sup>lt;sup>5</sup> As we are focusing on the mid-troposphere moisture budget (defined as the 700 hPa to 300 hPa atmospheric layers) and not on the entire atmospheric moisture budget, it would be more appropriate to talk about the vertical moisture flux anomalies across the 700 and 300 hPa iso-surfaces rather than about evaporation and precipitation.

However, we note that the regions where the atmospheric humidity anomalies play an important role correspond to the regions with strong coupling strength between precipitation and soil moisture, as identified by Koster et al. (2004). This suggests that JJA precipitation anomalies shown in Figures 7a-c may partly be induced or amplified by JJA soil moisture anomalies.

524 We also investigate from observational estimates the AMV composite of JJA precipitation and atmospheric humidity transport/divergence<sup>6</sup>. We find anomalous mid-525 troposphere humidity divergence similar to the models' ones over the Rocky Mountains and 526 Mexico (Fig. 7h). There is however no precipitation anomaly over the Rocky Mountains (Fig. 527 7d). To the extent that the comparison between models experiments and observations is fair, 528 the absence of link between mid-troposphere humidity divergence and rainfall anomalies 529 over southwestern North America in observations suggests that the precipitation response to 530 AMV may have been counteracted by sub-monthly variations of the atmospheric humidity 531 532 transport. But, it can also come from inconsistency between the two observational estimate databases. The absence of precipitation anomalies in the observed AMV composite further 533 indicates that the temperature and heat wave anomalies seen in Figures 1a and 3a cannot be 534 explained by JJA precipitation changes, which contrasts with our models' results. 535

# 536 2) SOIL MOISTURE

As stated above, JJA evaporation anomalies may also come from a lack of soil moisture at the beginning of the summer, which would result from negative anomaly of the land water budget earlier in the year. To verify this hypothesis, we have at our disposal soil moisture outputs from just CESM1 and CM2.1. For these models, we find that the soil tends to be already drier than usual at the beginning of the summer in response to an AMV

<sup>&</sup>lt;sup>6</sup> The latter have been computed, as for the models, from monthly mean values of the horizontal wind and humidity fields.

warming (Fig. 8). These soil moisture anomalies are consistent with a deficit of precipitation 542 between September and May (cf. Figs. 9a,b). We note that the 9 month-mean precipitation 543 anomalies shown in Figure 9a-c can be easily linked to the so-called Standardized 544 Precipitation Index (SPI; e.g., Hayes et al. 1999) used to monitor droughts. Mo and Schemm 545 (2008) found a good agreement over northern Mexico and western US between soil moisture 546 anomalies and SPI computed from 6 months of precipitation anomalies (cf. Fig. 2 in Mo et al. 547 548 2009). This agreement suggests that the September to May precipitation anomalies can be used as a proxy of the soil moisture anomalies in the FLOR experiments for which the soil 549 550 moisture outputs have not been saved (Fig. 9c).

To estimate the respective roles played by the anomalies of JJA precipitation (Figs. 551 7a-c) and of soil moisture at the beginning of the summer (Figs. 8 and 9a-c) on the anomalies 552 of latent heat (Fig. 4) and on the number of heat wave days (Figs. 3b-d), we compute the 553 uncentered<sup>7</sup> pattern correlation between these maps (Table 1). We find that the pattern 554 correlation between the anomalies of May soil moisture and JJA latent heat are similar to the 555 pattern correlation between JJA precipitation and JJA latent heat (multi-model mean 556 correlation of -0.68 vs -0.69, respectively). This suggests that the JJA precipitation and the 557 May soil moisture anomalies play similar contribution in the JJA latent heat response to 558 AMV. However, the correlation between the anomalies of the number of heat wave days and 559 560 of May soil moisture is much stronger than that between the number of heat wave days and the JJA precipitation anomalies (multi-model mean correlation of -0.80 vs -0.57, 561 respectively). These results suggest that the mean soil moisture anomalies at the beginning of 562 the summer are playing a dominant role in the modulation of heat waves. We interpret this 563 role as a preconditioning to the development of hot episodes in response to the AMV forcing. 564

<sup>&</sup>lt;sup>7</sup> We use here the uncentered correlation to take into account the link between the variables coming both from the spatial mean shift and from the regional variations, in contrast to the centered correlation that only captures the links between the regional variations.

565 In summary, we show that the increased number of heat wave days in response to AMV+ in our simulations is linked both to JJA precipitation deficit and to drier than usual 566 soil conditions at the beginning of the summer. This suggests that the precipitation response 567 568 to AMV occurring all year-long is key for understanding the modulation of the number of heat wave days by AMV. Indeed, the high pattern correlation between the number of heat 569 wave days and annual mean precipitation (multi-model mean correlation of -0.85) 570 demonstrates a strong relationship between these two fields (cf. Figs. 10a-c and Table 1). In 571 the present study, the link between JJA precipitation and heat wave anomalies is not 572 573 corroborated from observational estimates (Fig. 7d). However, computing an annual mean precipitation composite of the AMV in observations, we find a precipitation decrease over 574 Mexico and over the US, suggesting that JJA LH anomalies have also played a role in the 575 576 observed changes.

577

# 578 c. Decreased downward long wave (LW<sub>dn</sub>)

The LW<sub>dn</sub> anomalies shown in Figure 4 are consistent with the atmospheric humidity 579 580 anomalies presented in Figures 5a-c. As discussed in Section 4a, the atmospheric drying over western US in response to AMV+ is partly explained by a divergence of humidity happening 581 in the mid-troposphere (Figs. 7e-g). Furthermore, looking at the lower troposphere, we find 582 that humidity divergence is also happening off the California coasts (not shown), which could 583 also explain part of the drying over western US. Over eastern US, the atmosphere tends to be 584 wetter than usual, although discrepancies exist among the models on the magnitude of this 585 586 wetting (Figs. 5a-c). In CM2.1 and CESM1, there is an increase of the meridional atmospheric moisture transport in the lower atmosphere over the Great Plains region, 587 especially North of 30°N (Figs. 11a,b,e), whereas a decrease of this transport is simulated in 588

FLOR (Figs. 11c,e). This difference in moisture transport anomaly seems to explain the differences in the surface temperature, heat wave, and  $LW_{dn}$  responses, as well as in the atmospheric humidity anomalies over central and eastern US seen in Figures 1b-d, 3-b-d, 4, and 5a-c, respectively.

The difference in moisture transport response among the models may be due to 593 594 differences in model mean states (cf. Section 2b and Fig. S2). Indeed, FLOR has the weakest GPLLJ of the three CGCMs used in this study (cf. contours in Figures 11a-c). The same 595 atmospheric humidity anomaly over the Gulf of Mexico would then lead to a weaker anomaly 596 597 of moisture transport by the mean flow in FLOR than in CM2.1 and CESM1. We note further that CESM1 is the CGCM simulating the most realistic GPLLJ mean state, whereas FLOR 598 simulates a climatological GPLLJ maximum shifted to the South and it underestimates the 599 600 northward extension of the jet. The mean bias of FLOR gives more credits to the moisture transport response to AMV simulated by CM2.1 and CESM1. 601

602

# 603 5. Discussion

604

#### 605 a. The JJA precipitation anomalies

The JJA precipitation decrease over central and western US in response to AMV+ shown in Figures 7a-c is consistent with the results of Sutton and Hodson (2007), Wang et al. (2008), and Kushnir et al. (2010), who estimated the climate impacts of a North Atlantic warming using atmosphere-only models forced by fixed SSTs. This suggests that using CGCM is not a prerequisite to represent these AMV impacts on summertime North America precipitation. Wang et al. (2008) and Feng et al. (2011) explain the precipitation deficit happening on the eastern flank of the Rocky Mountains by a decrease of the GPLLJ and of its associated moisture transport over the US. We verify this mechanism in our experiments and find that the three models indeed simulate a decrease of the GPLLJ in response to AMV+ compared to AMV- conditions (Fig. 11d). However, we find that this effectively leads to a decrease of the northward moisture transport over the US only in FLOR (Fig. 11e). In CM2.1 and CESM1, the moisture transport eventually strengthens due to an increase of the atmospheric moisture in response to the warm SST imposed over the North Atlantic.

Given that the three models show similar JJA precipitation anomalies over the US, but 619 disagree on the GPLLJ moisture transport response, we conclude that the changes in the 620 621 GPLLJ moisture transport is not the main driver of the teleconnection between AMV and US precipitation in our simulations. We further conclude that these anomalies are mostly driven 622 by the downward motion prevailing over northern Mexico and southwestern US (Section 4a; 623 624 Figs. 2e-g), and also potentially by evaporation-precipitation feedbacks north of 35°N (Section 4b; Fig. S8). The primarily role played by the increased downward motion on 625 precipitation decrease over the US in response to AMV+ has also been proposed by Sutton 626 and Hodson (2007). 627

As stated in Section 2f, a limitation of our analysis is that the atmospheric humidity transport and divergence have been computed from monthly wind and specific humidity outputs, which might lead to errors (e.g., Seager and Henderson 2013). A more detailed analysis would be needed to make stronger conclusions regarding the relationship between precipitation and atmospheric humidity divergence.

633

634 b. Role of the tropical Pacific adjustment to the AMV

635 The observed AMV composite of annual mean precipitation (Fig. 10e) shows some636 discrepancies with the simulated results (Figs. 10a-c). Both models and observations tend to

637 show dry conditions over most of the US and northern Mexico associated with AMV+, but the maximum anomalies are localized over the Southeastern US in observations, whereas in 638 our simulations the anomaly maxima are localized over the Sierra Madre Occidental (and 639 640 California in CESM1). One possible explanation for the discrepancy between observation and modelled precipitation responses is that, in our simulations, the Pacific develops negative 641 PDO-like SST anomalies during boreal winter in response to AMV warming (Figs. 9e-g; see 642 also Ruprich-Robert et al. 2017 for detailed analysis of this teleconnection). This Pacific 643 response is likely modulating the direct AMV impacts on North American precipitation. 644

645 The relationship between AMV+ (AMV-) and a tropical Pacific cooling (warming) is not always verified in observations. D'Orgeville and Peltier (2007) and Wu et al. (2011) 646 conclude from the historical records that the AMV leads the PDO by about a decade (with 647 648 cold tropical Pacific anomalies following a warm AMV phase). Zhang et al. (2007) suggest that this time-lagged response comes from local air-sea interaction in the Pacific. Another 649 explanation could be that internal Pacific variability may interfere with the Pacific response 650 to the AMV forcing, making it difficult to isolate the AMV-Pacific relationship in 651 observations. Indeed, the PDO appears to be not a single mode but rather the result of a 652 653 combination of several physical processes (e.g., Newmann et al. 2016), with the slowest components being possibly linked to AMV (cf. Fig. 7a in Newmann et al. 2016). Following 654 655 this perspective, one would need much longer observational records to robustly extract the 656 AMV-Pacific and the AMV-North America teleconnections. Indeed, we stress here that the annual precipitation deficit shown in our experiments (Figs. 10a-c) is consistent with the 657 results of Feng et al. (2011) based on paleo-data, who estimated the anomalies of tree ring 658 659 reconstructed Palmer Drought Severity Index associated with the AMV (see Fig. 2b in Feng 660 et al. 2011).

661 To determine whether the precipitation difference between the observed AMV composite and our simulations are coming from the Pacific SST response, we compute a 662 conditional composite in observations. On one hand, we select years that fall both in the 663 positive phase of the observed AMV and in the negative phase of the PDO (PDO-)<sup>8</sup>, and on 664 the other hand we select years falling both in AMV- and PDO+ (Fig. 10f). The precipitation 665 anomalies of this conditional composite show stronger precipitation anomalies over North 666 667 America than the non-conditional AMV composite (Fig. 10e), with maximum anomalies localized over northern Mexico and southwestern US. These precipitation anomalies are more 668 669 consistent with our simulations results (though still different), suggesting that the discrepancy between the observed and modelled precipitation response to AMV is partly coming from the 670 Pacific response in our simulations. 671

The Damped\_Global\_AMV experiments performed with CM2.1 (cf. Section 2c) also 672 provide information on the role played by the SST response over the Pacific. In this 673 experiment, the annual precipitation anomalies are reduced (Fig. 10d) compared to the 674 CM2.1 AMV experiments (Fig. 10a) and show barely significant anomalies over central US. 675 The anomalies in the number of heat wave days are also reduced in these experiments, in 676 particular for the very extreme heat wave events (Fig. S11). These demonstrate that the 677 adjustment of the Pacific Ocean plays a role in driving the impacts of the AMV over North 678 679 America, in particular for the modulation of heat wave events. Most of the North American precipitation differences between the AMV and the Damped\_Global\_AMV experiments of 680 CM2.1 occur during September to May, when the tropical Pacific cooling response to AMV 681 is maximal (Fig. 9). This indicates that, in our simulations, 1) the non-summer precipitation 682 anomalies over North America are partly driven by the Pacific response to the AMV forcing, 683

<sup>&</sup>lt;sup>8</sup> The PDO index is defined here from the 3-year low pass filtered Principal Component associated with the first EOF of the annual mean SST computed over the North Pacific sector (from 20°N to 62°N) for the period 1901 to 2011. The 3-year low pass filter is used to minimize the impacts of interannual variability (such as ENSO) on the PDO composite.

684 2) these precipitation anomalies lead to soil moisture anomalies, 3) the soil moisture
685 anomalies are carried through to the summer, and 4) they act as a preconditioning for the
686 development of heat waves.

687

# 688 **6.** Conclusions

Using three CGCMs (CM2.1, CESM1, and FLOR), we have investigated the North 689 American climate response to the observed Atlantic Multidecadal Variability (AMV) during 690 691 boreal summer. The large ensemble simulations performed in this study allows us to estimate the impacts of the AMV on the occurrence of weather extremes such as heat waves. For the 692 three models, we find that an AMV warming leads on average to a precipitation deficit and a 693 694 temperature warming over northern Mexico and southwestern US, as well as over the Great Plains in CM2.1 and CESM1. Furthermore, we find that the AMV modulates the number of 695 heat wave days by about 30% over these regions. The mean temperature and precipitation 696 impacts found in this study are in agreement with previous studies that used atmosphere-only 697 models forced by Atlantic SST anomalies (Sutton and Hodson 2007, Wang et al. 2009, 698 699 Kushnir et al. 2010, Chylek et al. 2014), suggesting that these are robust impacts of the AMV. It also indicates that these AMV impacts are primarily driven by a direct atmospheric 700 teleconnection between North Atlantic and North America. However, we show evidence here 701 702 that the ocean-atmosphere coupling – especially over the tropical Pacific – reinforces the North American summer climate response to AMV. In particular, using experiments 703 inhibiting the Pacific SST response to AMV, we show that this coupling is needed to fully 704 705 represent the modulation of heat waves by AMV.

We explore the physical mechanisms associated with the AMV teleconnections. Assummarized in Figure 12, we find that the impacts over northern Mexico and southwestern

708 US are mostly driven by an increased atmospheric subsidence there, which is linked to a 709 Matsuno-Gill-like response to the tropical Atlantic warming. This response leads to an atmospheric warming and to a horizontal atmospheric humidity divergence, which both drive 710 711 a decrease of atmospheric relative humidity, cloud cover, and precipitation. This result is different from the studies of Wang et al. (2009) and Feng et al. (2011), who concluded that 712 the decrease of the Great Plain Low Level Jet (GPLLJ) in response to AMV+ was responsible 713 714 for the precipitation decrease over US. However, we find that the increased GPLLJ moisture transport in response to AMV+ in CM2.1 and CESM1 is responsible for the warming and the 715 716 increased number of heat wave days over the Great Plains.

717 We find that the modulation by the AMV of the heat wave occurrence over northern Mexico and southwestern US is driven by three factors: an increase of solar irradiance in 718 719 summer, a summer precipitation deficit, and a soil moisture deficit at the beginning of the summer. We speculate that the latter is acting as a preconditioning for the development of 720 extreme temperatures during a heat wave event (e.g., Donat et al. 2016). The soil moisture 721 anomalies are consistent with a precipitation deficit occurring from September to May over 722 the region. We show that this precipitation deficit occurring outside the summer season is 723 724 amplified by the Pacific Ocean adjustment to the AMV forcing, which leads to atmospheric changes and impacts northern America. These indirect AMV impacts highlight the 725 726 desirability to use coupled models to fully capture the impacts of AMV on North America.

Given the potential predictability of the AMV, its teleconnections act as a source of predictability for the climate variations over land. Our results hence are encouraging for the prospect of getting skillful North American climate forecasts, and, in particular, for the prediction of the occurrence of heat waves at multi-year timescale. The three models used in this study have different land model, atmospheric physic, and atmospheric and land resolutions (from 200 km to 50 km), but the overall results are very similar among the 733 models. This consistency gives confidence about our results. However, the mean model biases in terms of soil moisture and surface air temperature, but also their representation of 734 the land-atmosphere coupling and of the diurnal cycle precipitation may interfere with the 735 736 AMV impacts found in this study. Our conclusions therefore need to be corroborated with additional models. These could be done through the Component C of the Decadal Climate 737 Prediction Project of the next Phase of the Climate Model Intercomparison Project (Boer et 738 739 al. 2016), which will include coordinated experiments similar to those discussed in the present study. 740

741

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1016 Figure caption:

1017 **Table 1:** 

Uncentered Pattern correlation computed between, on one hand, anomaly map of the latent 1018 1019 heat and the number of heat wave days and, on the other hand, the JJA precipitation, the May soil moisture, the September to May precipitation, and the annual mean precipitation anomaly 1020 map for the region over which the number of heat wave days changes: 20°N-45°N/125°W-1021 95°W. The values in the table are from left to right the correlation values from CM2.1, 1022 CESM1, FLOR, and the inter-model correlation mean (in bold; computed using Fisher 1023 1024 transformation). The values between brackets in the "Latent Heat / May Soil Moisture" box 1025 indicate the pattern correlation between the May Soil Moisture anomalies and the September 1026 to May precipitation anomalies.

1027

#### 1028 **Figure 1**:

June-July-August averaged 2-meter air temperature differences between the positive and the negative phase of AMV. (**a**) Observed temperature composite difference between the positive and the negative years of the observed AMV index (cf. Fig. S1a; dataset: HadCRUT4). The other panels show the temperature difference between the 10-year ensemble mean average of the AMV+ and AMV- experiments for (**b**) CM2.1, (**c**) CESM1, and (**d**) FLOR. Stippling indicates regions that are below the 95% confidence level of statistical significance according to a two-sided t-test.

1036

1037 **Figure 2:** 

1038 Differences in 10-year June-July-August average sea level pressure between AMV+ and AMV- experiments from (a) CM2.1, (b) CESM1, and (c) FLOR. (d) Observed sea level 1039 pressure composite difference between the positive and the negative years of the observed 1040 1041 AMV index (dataset: HadSLP2). (e,f,g,h) same as (a,b,c,d) but for the vertical atmospheric motion (w) averaged between 400 hPa and 200 hPa (shading; positive values mean 1042 1043 downward motion) and for the atmospheric streamfunction (sf) at 200 hPa (contours at interval of 0.3x10<sup>6</sup> kg.s<sup>-1</sup>; positive values indicate anticyclonic circulation; observed dataset: 1044 20<sup>th</sup> Century Reanalysis). Stippling indicates regions that are below the 95% confidence level 1045 1046 of statistical significance according to a two-sided t-test.

1047

# 1048 **Figure 3**:

June-July-August averaged differences of the number of heat wave days between the positive 1049 and the negative phase of AMV. (a) Observed number of heat wave day composite difference 1050 1051 between the positive and the negative years of the observed AMV index (dataset: BEST). Differences in 10-year average number of heat wave days between AMV+ and AMV-1052 1053 experiments for (b) CM2.1, (c) CESM1, and (d) FLOR. (e,f,g,h) same as (a,b,c,d) but for the 1054 difference between  $\delta\mu$ AMV- and AMV- conditions (see text for explanations). (**i**,**j**,**k**,**l**) same as (a,b,c,d) but for the difference between AMV+ and  $\delta\mu$ AMV- conditions. The gray region 1055 on (a) are regions where the BEST data are not covering the full 1901-2011 period. The heat 1056 1057 wave day changes from models' outputs (b,c,d), (f,g,h), and (j,k,l) are shown for grid cells containing only land surface area. Stippling on panels (b,c,d), (f,g,h), and (j,k,l) indicates 1058 1059 regions that are below the 95% confidence level of statistical significance according to a twosided t-test. Note the different scale between the top row and the two other rows. 1060

# 1062 **Figure 4**:

Differences in 10-year June-July-August average surface flux differences of (1<sup>st</sup> column) net short wave, (2<sup>nd</sup> column) downward long wave, (3<sup>rd</sup> column) sensible heat, and (4<sup>th</sup> column) latent heat between AMV+ and AMV- experiments from (top row) CM2.1, (middle row) CESM1, and (bottom row) FLOR. Positive values indicate a surface warming, by convention. The fluxes are shown for grid cells containing only land surface area.

1068

# 1069 **Figure 5:**

1070 Difference in 10-year June-July-August average vertically integrated atmospheric humidity between AMV+ and AMV- experiments from (a) CM2.1, (b) CESM1, and (c) FLOR. (d,e,f), 1071 same as (a,b,c) but for the total atmospheric cloud cover. Stippling indicates regions that are 1072 below the 95% confidence level of statistical significance according to a two-sided t-test. The 1073 1074 thick black contours on (d,e,f) indicate the domain used to compute the profiles shown in 1075 Figure 6. Note that these profiles are computed over land surface area only. The contour 1076 differences among the models reflect coastal shape differences due to different atmospheric -1077 land model resolutions.

1078

# 1079 **Figure 6:**

Differences in 10-year June-July-August average of vertical atmospheric profiles averaged over the broad Southwestern US region indicated in Figure 5 for (**a**) vertical motion, (**b**) temperature, (**c**) relative humidity, and (**d**) specific humidity from CM2.1 (black line), CESM1 (blue line), and FLOR (red line). The dashed black lines represent the June-July 1084 differences from CM2.1. Positive anomaly of vertical motion means increased upward1085 motion.

1086

1087 Figure 7:

1088 June-July-August averaged differences of precipitation and mid-troposphere moisture divergence between the positive and the negative phase of AMV. (a) Observed precipitation 1089 composite difference between the positive and the negative years of the observed AMV index 1090 (dataset: GPCC). Differences in 10-year June-July-August precipitation between AMV+ and 1091 AMV- experiments from (b) CM2.1, (c) CESM1, and (d) FLOR. (e,f,g,h) same as (a,b,c,d) 1092 but for the atmospheric specific humidity divergence (shading) and transport (vectors) 1093 integrated over 700 hPa and 300 hPa (observed dataset: 20<sup>th</sup> Century Reanalysis). Stippling 1094 on (b,c,d) and (f,g,h) indicates regions that are below the 95% confidence level of statistical 1095 1096 significance according to a two-sided t-test.

1097

1098 **Figure 8**:

Differences in 10-year May average soil moisture between AMV+ and AMV- experiments from (a) CM2.1 and (b) CESM1. For CM2.1 the soil moisture anomalies have been computed over to the entire plant root zone (bucket), whereas for CESM1 these anomalies are shown for the single 10 cm level. Stippling indicates regions that are below the 95% confidence level of statistical significance according to a two-sided t-test.

1104

1105 **Figure 9:** 

Differences in 10-year September to May average of precipitation (left column) and sea surface temperature (right column) between AMV+ and AMV- experiments from (**a**,**e**) CM2.1, (**b**,**f**) CESM1, and (**c**,**g**) FLOR. (**d**,**h**) same as (**a**,**e**) but for the CM2.1 Damped\_Global\_AMV experiments. Stippling indicates regions that are below the 95% confidence level of statistical significance according to a two-sided t-test. Note that the effect of ocean-atmosphere coupling on the AMV impacts can be inferred by the differences between the first and the last row.

1113

### 1114 Figure 10:

1115 Differences in 10-year annual average precipitation between AMV+ and AMV- experiments from (a) CM2.1, (b) CESM1, and (c) FLOR. (d) as (a) but for the CM2.1 1116 Damped\_Global\_AMV experiments. (e) Observed precipitation composite difference 1117 between the positive and the negative years of the observed AMV index. (f) same as (e) but 1118 for a conditional composite taking into account both the observed AMV and PDO phases (see 1119 text for details). The observed precipitation data come from GPCC. Stippling on (a,b,c,d) 1120 1121 indicates regions that are below the 95% confidence level of statistical significance according to a two-sided t-test. The precipitation changes are shown for grid cells containing only 1122 surface area. 1123

1124

# 1125 Figure 11:

June-July-August climatological meridional wind (contour interval of 1 m.s<sup>-1</sup>; dashed contours indicate southward winds) and differences in 10-year June-July-August average of meridional atmospheric humidity transport at 925 hPa (shading) between AMV+ and AMVexperiments from (**a**) CM2.1, (**b**) CESM1, and (**c**) FLOR. Gray areas on (a,b,c) indicates regions where the iso-surface 925 hPa is not defined due to topography. Atmospheric profile differences between AMV+ and AMV- experiments of (**d**) meridional wind and (**e**) meridional humidity transport averaged over the Great Plains Lowe Level Jet region indicated by the green contours on (a,b,c) for CM2.1 (black), CESM1 (blue), and FLOR (red). Stippling on (a,b,c) indicate regions that are below the 95% confidence level of statistical significance according to a two-sided t-test.

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#### 1137 **Figure 12:**

Schematic of the mechanisms associated with the mean response of the summertime North 1138 1139 American climate to a difference between the AMV+ and AMV- forcings. Positive North 1140 Atlantic SST anomalies (shading) increase deep atmospheric convection over the tropical Atlantic and East tropical Pacific (clouds), which drive an anomalous subsidence over the 1141 Southwest of North America (red arrow). This subsidence leads to an anomalous mid-1142 atmospheric mass and humidity divergence (blue arrows), and to less cloud cover and 1143 precipitation (sun). Altogether, the increase of solar radiation (through short wave flux) and 1144 1145 the lack of precipitation (through latent heat; blue streamers) lead to a warming of the surface and to an increase of the number of heat waves. Summertime latent heat anomalies are also 1146 coming from negative precipitation anomalies occurring all the year along – and integrated 1147 1148 by the soil moisture – due to the La-Niña-like response of the Pacific to the AMV forcing.

1149

		May Soil	September to May	Annual
	JJA Precipitation	Moisture	Precipitation	Precipitation
		-0.61, -0.74, x,		
Latent	-0.58, -0.76, -0.72,	-0.68	-0.61, -0.73, -0.53,	-0.76, -0.83, -0.72,
Heat	-0.69	(0.72, 0.79, x,	-0.64	-0.78
		0.76)		
Heat				
Wava	-0.43, -0.67, -0.59,	-0.74, -0.84, x,	-0.79, -0.81, -0.75,	-0.81, -0.88, -0.84,
wave	-0.57	-0.80	-0.78	-0.85
days				

1150

# 1151 **Table 1:**

1152 Uncentered Pattern correlation computed between, on one hand, anomaly map of the latent heat and the number of heat wave days and, on the other hand, the JJA precipitation, the May 1153 1154 soil moisture, the September to May precipitation, and the annual mean precipitation anomaly 1155 map for the region over which the number of heat wave days changes: 20°N-45°N/125°W-95°W. The values in the table are from left to right the correlation values from CM2.1, 1156 1157 CESM1, FLOR, and the inter-model correlation mean (in bold; computed using Fisher 1158 transformation). The values between brackets in the "Latent Heat / May Soil Moisture" box indicate the pattern correlation between the May Soil Moisture anomalies and the September 1159 1160 to May precipitation anomalies.



# June-July-August 2-meter air temperature AMV+ - AMV-



# 1162 **Figure 1**:

June-July-August averaged 2-meter air temperature differences between the positive and the negative phase of AMV. (**a**) Observed temperature composite difference between the positive and the negative years of the observed AMV index (cf. Fig. S1a; dataset: HadCRUT4). The other panels show the temperature difference between the 10-year ensemble mean average of the AMV+ and AMV- experiments for (**b**) CM2.1, (**c**) CESM1, and (**d**) FLOR. Stippling indicates regions that are below the 95% confidence level of statistical significance according to a two-sided t-test.



#### JJA Sea Level Pressure

JJA @@400-200hPa / sf@200hPa

1172 Differences in 10-year June-July-August average sea level pressure between AMV+ and 1173 AMV- experiments from (a) CM2.1, (b) CESM1, and (c) FLOR. (d) Observed sea level 1174 pressure composite difference between the positive and the negative years of the observed 1175 AMV index (dataset: HadSLP2). (e,f,g,h) same as (a,b,c,d) but for the vertical atmospheric 1176 motion ( $\omega$ ) averaged between 400 hPa and 200 hPa (shading; positive values mean 1177 downward motion) and for the atmospheric streamfunction (sf) at 200 hPa (contours at 1178 interval of  $0.3 \times 10^6$  kg.s<sup>-1</sup>; positive values indicate anticyclonic circulation; observed dataset:

<sup>1171</sup> **Figure 2**:

- 1179 20<sup>th</sup> Century Reanalysis). Stippling indicates regions that are below the 95% confidence level
- 1180 of statistical significance according to a two-sided t-test.



# June-July-August number of Heat Wave days





June-July-August averaged differences of the number of heat wave days between the positive and the negative phase of AMV. (**a**) Observed number of heat wave day composite difference between the positive and the negative years of the observed AMV index (dataset: BEST). Differences in 10-year average number of heat wave days between AMV+ and AMVexperiments for (**b**) CM2.1, (**c**) CESM1, and (**d**) FLOR. (**e**,**f**,**g**,**h**) same as (a,b,c,d) but for the difference between  $\delta\mu$ AMV- and AMV- conditions (see text for explanations). (**i**,**j**,**k**,**l**) same as (a,b,c,d) but for the difference between AMV+ and  $\delta\mu$ AMV- conditions. The gray region

on (a) are regions where the BEST data are not covering the full 1901-2011 period. The heat wave day changes from models' outputs (b,c,d), (f,g,h), and (j,k,l) are shown for grid cells containing only land surface area. Stippling on panels (b,c,d), (f,g,h), and (j,k,l) indicates regions that are below the 95% confidence level of statistical significance according to a twosided t-test. Note the different scale between the top row and the two other rows.





Differences in 10-year June-July-August average surface flux differences of (1<sup>st</sup> column) net short wave, (2<sup>nd</sup> column) downward long wave, (3<sup>rd</sup> column) sensible heat, and (4<sup>th</sup> column) latent heat between AMV+ and AMV- experiments from (top row) CM2.1, (middle row) CESM1, and (bottom row) FLOR. Positive values indicate a surface warming, by convention. The fluxes are shown for grid cells containing only land surface area.



JJA Atmospheric column humidity

JJA Total cloud cover



1203 **Figure 5**:

Difference in 10-year June-July-August average vertically integrated atmospheric humidity between AMV+ and AMV- experiments from (a) CM2.1, (b) CESM1, and (c) FLOR. (d,e,f), same as (a,b,c) but for the total atmospheric cloud cover. Stippling indicates regions that are below the 95% confidence level of statistical significance according to a two-sided t-test. The thick black contours on (d,e,f) indicate the domain used to compute the profiles shown in Figure 6. Note that these profiles are computed over land surface area only. The contour

- 1210 differences among the models reflect coastal shape differences due to different atmospheric -
- 1211 land model resolutions.





Differences in 10-year June-July-August average of vertical atmospheric profiles averaged over the broad Southwestern US region indicated in Figure 5 for (**a**) vertical motion, (**b**) temperature, (**c**) relative humidity, and (**d**) specific humidity from CM2.1 (black line), CESM1 (blue line), and FLOR (red line). The dashed black lines represent the June-July differences from CM2.1. Positive anomaly of vertical motion means increased upward motion.







June-July-August averaged differences of precipitation and mid-troposphere moisture
divergence between the positive and the negative phase of AMV. (a) Observed precipitation
composite difference between the positive and the negative years of the observed AMV index
(dataset: GPCC). Differences in 10-year June-July-August precipitation between AMV+ and

- AMV- experiments from (**b**) CM2.1, (**c**) CESM1, and (**d**) FLOR. (**e**,**f**,**g**,**h**) same as (a,b,c,d) but for the atmospheric specific humidity divergence (shading) and transport (vectors) integrated over 700 hPa and 300 hPa (observed dataset: 20<sup>th</sup> Century Reanalysis). Stippling on (b,c,d) and (f,g,h) indicates regions that are below the 95% confidence level of statistical
- 1230 significance according to a two-sided t-test.



1232 **Figure 8**:

Differences in 10-year May average soil moisture between AMV+ and AMV- experiments from (a) CM2.1 and (b) CESM1. For CM2.1 the soil moisture anomalies have been computed over to the entire plant root zone (bucket), whereas for CESM1 these anomalies are shown for the single 10 cm level. Stippling indicates regions that are below the 95% confidence level of statistical significance according to a two-sided t-test.



September to May SST AMV+ - AMV-

September to May Precip. AMV+ - AMV-





Differences in 10-year September to May average of precipitation (left column) and sea surface temperature (right column) between AMV+ and AMV- experiments from (**a**,**e**) CM2.1, (**b**,**f**) CESM1, and (**c**,**g**) FLOR. (**d**,**h**) same as (**a**,**e**) but for the CM2.1 Damped\_Global\_AMV experiments. Stippling indicates regions that are below the 95% confidence level of statistical significance according to a two-sided t-test. Note that the effect

- 1245 of ocean-atmosphere coupling on the AMV impacts can be inferred by the differences
- 1246 between the first and the last row.



# Annual Precipitation AMV+ - AMV-



# 1248 **Figure 10**:

Differences in 10-year annual average precipitation between AMV+ and AMV- experiments 1249 1250 from (a) CM2.1, (b) CESM1, and (c) FLOR. (d) as (a) but for the CM2.1 1251 Damped\_Global\_AMV experiments. (e) Observed precipitation composite difference between the positive and the negative years of the observed AMV index. (f) same as (e) but 1252 1253 for a conditional composite taking into account both the observed AMV and PDO phases (see text for details). The observed precipitation data come from GPCC. Stippling on (a,b,c,d) 1254 indicates regions that are below the 95% confidence level of statistical significance according 1255 to a two-sided t-test. The precipitation changes are shown for grid cells containing only 1256 surface area. 1257



JJA Meridional humidity transport @925hPa AMV+ - AMV-





June-July-August climatological meridional wind (contour interval of 1 m.s<sup>-1</sup>; dashed 1260 contours indicate southward winds) and differences in 10-year June-July-August average of 1261 meridional atmospheric humidity transport at 925 hPa (shading) between AMV+ and AMV-1262 1263 experiments from (a) CM2.1, (b) CESM1, and (c) FLOR. Gray areas on (a,b,c) indicates regions where the iso-surface 925 hPa is not defined due to topography. Atmospheric profile 1264 differences between AMV+ and AMV- experiments of (d) meridional wind and (e) 1265 1266 meridional humidity transport averaged over the Great Plains Lowe Level Jet region indicated by the green contours on (a,b,c) for CM2.1 (black), CESM1 (blue), and FLOR 1267 (red). Stippling on (a,b,c) indicate regions that are below the 95% confidence level of 1268 statistical significance according to a two-sided t-test. 1269





Schematic of the mechanisms associated with the mean response of the summertime North 1272 American climate to a difference between the AMV+ and AMV- forcings. Positive North 1273 Atlantic SST anomalies (shading) increase deep atmospheric convection over the tropical 1274 Atlantic and East tropical Pacific (clouds), which drive an anomalous subsidence over the 1275 1276 Southwest of North America (red arrow). This subsidence leads to an anomalous mid-1277 atmospheric mass and humidity divergence (blue arrows), and to less cloud cover and precipitation. Altogether, the increase of solar radiation (through short wave flux) and the 1278 lack of precipitation (through latent heat; blue streamers) lead to a warming of the surface 1279 1280 and to an increase of the number of heat waves. Summertime latent heat anomalies are also coming from negative precipitation anomalies occurring all the year along – and integrated 1281 by the soil moisture - due to the La-Niña-like response of the Pacific to the AMV forcing. 1282